

REQUEST FOR TRANSFER IN / OUT OF SECURITIES

UOB Kay Hian

Instructions:

1. This form is to be completed and submitted to UOB Kay Hian Pte Ltd, 83 Clemenceau Avenue, #10-01 UE Square, Singapore 239920
Attn: Custody and Nominees Department
2. Please ensure your signature is consistent with our records.

Transaction Type (please tick ONE ONLY):

- ☐ Transfer between my accounts within UOB Kay Hian
 ☐ Transfer **IN**
☐ Transfer **OUT**

Type of Transfer (please tick ONE ONLY):

- ☐ No Change in Beneficial Owner (**NCBO**)
 ☐ Joint Account / Immediate Family - Relationship: _____
 (Please provide documentation eg Marriage Certificate/Birth Certificate etc)
- ☐ Others – Please specify: _____ (Please contact Custody for supporting documentation required)

Declaration: (required to complete for transfers of US securities)

- ☐ I/We hereby confirm that I/we am/are ****non-affiliate** and not holding controlling securities of the issuing company.
- ☐ I/We hereby confirm that I/we am/are ****affiliate** and hold controlling securities of the issuing company, _____
 (Please complete all the questions below.)
- a) Are these securities restricted from transfer or sales: Yes / No
 - b) If these securities are restricted, what is the lock up period: _____
 - c) How these securities were acquired: _____
 - d) When these securities were acquired: _____

****For Rule 144: Selling Restricted and Control Securities** - <https://www.sec.gov/about/reports-publications/investorpubsrule144>
 Under Section 5 of the Securities Act of 1933, the sale of restricted and control securities into the public marketplace must be registered with the SEC or fit within an exemption from registration.

Name of Client:		NRIC/Passport No.:
Account No.:	Trading Rep Code:	DA sub acc/ CDP acc (for Singapore market only):

Name of Security	ISIN / Stock Symbol	Quantity

For Transfer between my accounts within UOB Kay Hian:

Trading Account (From):	Trading Account (To):
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For External Transfer: - Details of Counterparty

Name of Broker/ Bank/ Custodian:	Contact Person:
	Email address / Contact No.:
Account Name:	DA sub account/ CDP account (applicable for Singapore market only):
Account No.:	DTC No. (applicable for U.S. market only):

Note: Relevant stamp duty which may be applicable for the transfer of the shares have not been paid. I/We acknowledge that I/we shall be responsible for the stamp duty payable and shall make our own arrangement for this to be paid.

I/We agree and undertake to indemnify you and at all times keep you fully and completely indemnified from and against all claims and demands, actions and proceedings, loss and expenses and all other liabilities of whatsoever nature or description which may be made or taken or incurred or suffered by you in connection with the above transfer.

Signature of Client: _____

Date: _____