

Name of Corporation : _____ Company Reg No.: _____

Trading Account No.(s): _____

Common Reporting Standard (CRS) Entity Tax Residency Self-Certification Form

Please read these instructions before completing the form.

Regulations based on the OECD Common Reporting Standard ("CRS") require UOB Kay Hian Pte Ltd ("UOBKH") to collect and report certain information about an account holder's tax residency. If the account holder's tax residence is located outside Singapore, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to IRAS and they may exchange this information with tax authorities of another jurisdiction or jurisdictions pursuant to intergovernmental agreements to exchange financial account information.

You can find summaries of defined terms such as account holder, controlling person, and other terms at OECD website. A copy of the defined terms can be furnished upon request.

As a financial institution, we are not allowed to give tax advice. If you have any questions on how to define your tax residency status, please visit the OECD website: <http://www.oecd.org/tax/automatic-exchange/> or speak to a professional tax adviser.

Part 1-Identification of Account Holder (All fields are mandatory)

Name of Corporation

RCB Registration No. / Company Registration No.

Place of Incorporation / Registration

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Registered Address

Postal Code

City / State

Country

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Mailing Address (if different from the Registered Address)

Postal Code

City / State

Country

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Part 2-Entity Type (Please provide the Account Holder's Status by ticking one of the following boxes.)

1. (a) Financial Institution – Investment Entity

i. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution ☐
(Note: if ticking this box please also complete Question 2 below)

ii. Other Investment Entity ☐

(b) Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company ☐

If you have ticked (a) or (b) above, please provide, if held, the Account Holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes.

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(c) Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation. ☐

If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly traded: _____

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (c) is a Related Entity of: _____

- (d) Active NFE – a Government Entity or Central Bank ☐
- (e) Active NFE – an International Organisation ☐
- (f) Active NFE – other than (c)-(e) (for example a start-up NFE or a non-profit NFE) ☐
- (g) Passive NFE (Note: if ticking this box please also complete Question 2 below) ☐

2. If you have ticked **1(a)(i)** or **1(g)** above, then please:

- (a) Indicate the name of any Controlling Person(s) of the Account Holder:*

(b) Complete "Controlling Person tax residency self-certification form" for each Controlling Person.*

Part 3-Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent ("TIN")

1. If a TIN is unavailable please provide the appropriate **reason A, B or C** where appropriate:

Reason A – The country/jurisdiction where the Account Holder is a resident does not issue TINs to its residents

Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the table below if you have selected this reason)

Reason C – No TIN is required as the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction

No.	Country(ies)/Jurisdiction(s) of tax residence	TIN	If no TIN available enter Reason A, B, or C
1.			
2.			
3.			

*If the Account Holder is not tax resident in any country/jurisdiction (e.g., because it is fiscally transparent), please indicate that on line 1 and provide its place of effective management or jurisdiction in which its principal office is located.

2. Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.

No.	Country(ies)/Jurisdiction(s) of tax residence	Reason(s)
i.		
ii.		
iii.		

Part 4-Declaration and Signature*

I/We understand that the information supplied by me/us is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with UOBKH setting out how UOBKH may use and share the information supplied by me/us.

I/We understand that the information contained in this form and information relating to UOBKH account(s) may be provided to the Singapore tax authority and exchanged with tax authorities of other country or countries pursuant to intergovernmental agreements to exchange financial account information.

I/We certify that I/we am authorised to sign for the Account Holder in respect of all the account(s) to which this form relates.

I/We declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I/We undertake to advise UOBKH immediately of any change in circumstances which affects the tax residency status or causes the information contained herein to become incorrect or incomplete, and to provide UOBKH with a suitably updated self-certification.

Dated this _____ day of _____, 20_____.

Signature of Authorised Signatory

Name:

Designation:

NRIC/Passport No.:

***Please affix company's stamp**

For Office Use Only

Client Accounts

DMU

Reviewed By/ Date: _____

Data Entry By/Date: _____

Checked By/Date: _____