

**Please read these instructions before completing the form.**

Regulations based on the OECD Common Reporting Standard (“CRS”) require UOB Kay Hian Pte Ltd (“UOBKH”) to collect and report certain information about an account holder’s tax residency. If the account holder’s tax residence is located outside Singapore, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to IRAS and they may exchange this information with tax authorities of another jurisdiction or jurisdictions pursuant to intergovernmental agreements to exchange financial account information.

For joint account holders, please complete a separate form for each individual person. You can find summaries of defined terms such as account holder, controlling person, and other terms at the OECD website. A copy of the defined terms can be furnished upon request.

As a financial institution, we are not allowed to give tax advice. If you have any questions on how to define your tax residency status, please visit the OECD website: <http://www.oecd.org/tax/automatic-exchange/> or speak to a professional tax adviser.

## Name as in Trading Account

[illegible][illegible]

Residential Address

[illegible][illegible][illegible]

Postal Code

City / State

Country

[illegible]

Mailing Address (if different from Residential Address)

\_\_\_\_\_

| | | | | | | | | | | | | | | | | | | | | | | | | |

[illegible]

Postal Code

City / State

Country

[illegible]

Date of Birth (DD/MM/YYYY)

[illegible]

| | / | | / | | | | | | | | | | | | | | | | | | | |

Town / City of Birth

Country of Birth

[illegible]

**Part 2 – Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number (“TIN”)**

1. If a TIN is unavailable, please provide the appropriate reason **A**, **B** or **C** where indicated below:

**Reason A** - The country/jurisdiction where the Account Holder is a resident does not issue TINs to its residents

**Reason B** - The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the table below if you have selected this reason)

**Reason C** - No TIN is required as the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction

|    | Country(ies)/Jurisdiction(s) of Tax Residence | TIN | If no TIN available, enter Reason A, B or C |
|----|-----------------------------------------------|-----|---------------------------------------------|
| 1. |                                               |     |                                             |
| 2. |                                               |     |                                             |
| 3. |                                               |     |                                             |

2. Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason **B** above.

| No.  | Country(ies)/Jurisdiction(s) of Tax Residence | Reason(s) |
|------|-----------------------------------------------|-----------|
| i.   |                                               |           |
| ii.  |                                               |           |
| iii. |                                               |           |

### Part 3 – Declarations and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with UOB Kay Hian Private Limited ("UOBKH") setting out how UOBKH may use and share the information supplied by me.

I acknowledge that the information contained in this form and information relating to my UOBKH account(s) may be provided to the Singapore tax authority and exchanged with tax authorities of other countries pursuant to intergovernmental, bilateral or multilateral competent authority agreements to exchange financial account information.

I certify that I am the Account Holder to which this form relates.

**I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.**

I undertake to advise UOBKH immediately of any change in circumstances which affects the tax residency status of or causes the information contained herein to become incorrect or incomplete, and to provide UOBKH with a suitably updated self-certification.

Dated this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_.

Signature: \_\_\_\_\_

Name of Account Holder: \_\_\_\_\_

#### **For Office Use Only**

##### **Client Accounts**

Reviewed By/ Date: \_\_\_\_\_

##### **DMU**

Data Entry By/Date: \_\_\_\_\_

Checked By/Date: \_\_\_\_\_